

Important Questions of Law, Ethics and Communication for CA IPCC

November 2014 by [CA Jatin Bansal](#), Chandigarh

Important questions for Law, Ethics & Communication

Ethics

- Good Corporate Governance – features, various committees
- **CSR and its Benefits**
- **Sustainable development, Green Accounting System**
- Imp. of work place ethics
- **Fundamental principles for accounting professionals** (Independence, Integrity, Confidentiality etc.)
- **Major threats for unethical behaviour** (Financial, advocacy, familiarity, intimidation etc.)
- Reasons for unethical behaviour.

Communication

- **Notice and minutes for AGM/ EGM**
- **Power of Attorney, Gift Deed**
- **Rent Deed**
- **Grapevine Communication**
- **Interpersonal Communication**
- **Critical Thinking**
- **Negotiation, Bargaining and its process**
- **Consensus Building**
- **Elements influencing Organizational Culture**
- **Organisational - Wide Change, reasons of acceptance of change**

The Companies Act, 1956

- Procedure for incorporation of Co.
- Conversion of private into public limited company
- Subsidiary company holding shares in Holding Company (Section 19 of CA, 2013)
- **Conclusiveness of the certificate of Incorporation**
- Doctrine of Indoor Management and its exceptions
- **Shelf, abridged prospectus & IM, Section 34, 35 of CA, 2013**
- Section 39, 40, 69 of CA, 2013
- Share certificate Vs. Share Warrant

- **Buy-back of shares**
- Sweat equity shares, DVRs
- **Buy back of shares**
- **Charges** - Floating charge, satisfaction of charge, **Pari passu clause in debentures, penalty for contravention**
- Minor position as a member
- Small depositors and public deposits
- **Chairman (Section 104)**, postal ballot, quorum (S.103), Meetings specially EGM (S. 100), **Explanatory Statement (S. 102) of the CA, 2013**
- **MCA21, its objectives, benefits**

The Negotiable Instruments Act, 1881

- **Inchoate Instrument**
- **Indian vs Foreign bills**
- **Conditional Endorsements**
- Privileges of Holder in due course
- Dishonour of cheques i.e S. 138 to 142
- **Noting and protesting**

The Indian Contract Act, 1872

- **Position of Minor Contracts**
- Principles held in Hadley vs Baxendale
- Quasi contracts
- **Bailment, Pledge, Guarantee and kinds of agency agreements**

The Payment of Bonus Act, 1965

- **Disqualification for Bonus**
- Recovery of bonus
- **Time limit for Payment of Bonus**

The Payment of Gratuity Act, 1972

- **Forfeiture of Gratuity**
- Compulsory insurance
- Conditions/ eligibility for gratuity
- Continuous service

The EPF and MP Act, 1952

- Non applicability of Act
- Protection against attachment
- **CBOT & Executive Committee**
- **Employee deposit linked insurance**

All the best,

Warm regards,
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