

Important Questions for CA IPCC November 2013
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Important questions for Law, Ethics & Communication

Ethics

- Ethical Dilemma
- Good Corporate Governance – features, various committees
- CSR and its Benefits
- GRI
- Sustainable development, PPP
- Guidelines for managing ethics in the workplace
- Major provisions of the Competition Act, 2002
- Fundamental principles for accounting professionals (Independence, Integrity, Confidentiality etc.)
- Major threats for unethical behaviour (Financial, advocacy, familiarity, intimidation etc.)
- Reasons for unethical behaviour.

Communication

- Notice for AGM/ EGM/ BM
- Minutes of AGM/ EGM
- Power of Attorney, Gift Deed
- Grapevine Communication
- Interpersonal Communication
- Critical Thinking
- Negotiation, Bargaining and its process
- Consensus Building
- Elements influencing Organizational Culture
- Organisational - Wide Change

The Companies Act, 1956

- Procedure for incorporation of Co.
- Conversion of private into public limited company
- Doctrine of *ultra vires*, Indoor Management
- Shelf, abridged prospectus & IM
- Irregular allotment and effects
- Underwriting commission

- Sweat equity shares, DVRs
- Buy back of shares
- Minor position as a member
- Small depositors
- Floating charge, satisfaction of charge
- *Pari passu* clause in debentures
- Chairman, postal ballot, quorum, Meetings
- MCA, its objectives, benefits
- Public Financial Institution u/s 4A

The Negotiable Instruments Act, 1881

- Indian vs Foreign bills
- Conditional Endorsement
- Holder in due course
- Dishonour of cheques i.e section 138 to 142
- Crossing of cheques

The Indian Contract Act, 1872

- Principles held in Hadley vs Baxendale
- Quasi contracts
- Bailment, Pledge, Guarantee and kinds of agency agreements

The Payment of Bonus Act, 1965

- Disqualification for Bonus
- Recovery of bonus
- Time limit for Payment of Bonus

The Payment of Gratuity Act, 1972

- Compulsory insurance
- Powers of inspectors
- Conditions/ eligibility for gratuity
- Continuous service

The EPF and MP Act, 1952

- Non applicability of act
- Protection against attachment
- CBOT & Executive Committee
- Employee deposit linked insurance

Warm regards,
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