

NBFC-RBI Compliance Chart
NBFC (not accepting/holding public Deposites)

S.No.	REGULAR FORMS/RETURNS	Assets Size of NBFCs			Due date(upto)	Reporting to
		100 Cr. or more.	50 Cr. or More but less than 100 Cr.	Less than 50 Cr.		
1	NBS-7 (Quarterly) (within 15 days)	Applicable	N/A	N/A	15 th April 15 th July 15 th October 15 th January	RBI
2	ALM-1 (Monthly) (within 10 days)	Applicable	N/A	N/A	10 th day of next Month.	RBI
3	ALM-2 (Half Yearly) (within 20 days)	Applicable	N/A	N/A	20 th April 20 th October	RBI
4	ALM-3 (Half Yearly) (within 20 days)	Applicable	N/A	N/A	20 th April 20 th October	RBI
5	100 cr. NBFC-ND-SI (Monthly) (W-7 days)	Applicable	N/A	N/A	7 th Day of next Month.	RBI
6	Special Quarterly Returns (within-1 Month)	N/A	Applicable	N/A	30 th April 31 st July 31 st October 31 st January	RBI
7	NBFC having FDI Quarterly (within-1 Month)	Applicable	Applicable	Applicable	30 th April 31 st July 31 st October 31 st January	RBI

S.No.		Assets Size of NBFCs			Due date	Reporting To
		100 Cr or more.	50 Cr. or More but less than 100 Cr.	Less than 50 Cr.		
8	OTHER RETURNS					RBI
a.	Auditors' Certificate (Annualy) ● Regarding Holding of COR ● Classification of NBFC	Applicable	Applicable	Applicable		RBI
b.	NBFC-FDI Certificate (Half Yearly)	Applicable (FDI accepting)	Applicable (FDI accepting)	Applicable (FDI accepting)		RBI
c.	Net Owned Fund (Annualy)	Applicable	Applicable	Applicable		RBI
d.	Asset/Income pattern (Annualy)	Applicable	Applicable	Applicable		RBI
e.	Balance Sheet to RBI (Annualy)	Applicable	Applicable	Applicable	(Within 30 days of AGM)	RBI

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