

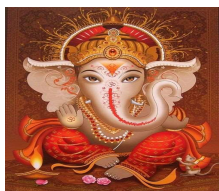
LIST OF CIRCULARS ISSUED BY SEBI DURING FY 21-22(updated upto March 23,2022)

Date	Title/Link
Mar 23, 2022	Change in control of Sponsor and/or Manager of Alternative Investment Fund involving scheme of arrangement under Companies Act, 2013. https://www.sebi.gov.in/legal/circulars/mar-2022/standardisation-of-industry-classification-revision-in-chapter-xiv-of-operational-circular-for-issue-and-listing-of-non-convertible-securities-securitised-debt-instruments-security-receipts-mun-57060.html
Mar 22, 2022	Standardisation of industry classification - Revision in Chapter - XIV of Operational Circular for issue and listing of Non-convertible Securities, Securitised Debt Instruments, Security Receipts, Municipal Debt Securities and Commercial Paper. https://www.sebi.gov.in/legal/circulars/mar-2022/standardisation-of-industry-classification-revision-in-chapter-xiv-of-operational-circular-for-issue-and-listing-of-non-convertible-securities-securitised-debt-instruments-security-receipts-mun-57060.html
Mar 17, 2022	Revision in Orders Per Second limit for algorithmic trading in Commodity Derivatives Segment of the Stock Exchange. https://www.sebi.gov.in/legal/circulars/mar-2022/revision-in-orders-per-second-limit-for-algorithmic-trading-in-commodity-derivatives-segment-of-the-stock-exchange-56977.html
Mar 15, 2022	Discontinuation of usage of pool accounts for transactions in the units of Mutual Funds: Clarifications with respect to Circulars dated October 4, 2021. https://www.sebi.gov.in/legal/circulars/mar-2022/discontinuation-of-usage-of-pool-accounts-for-transactions-in-the-units-of-mutual-funds-

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

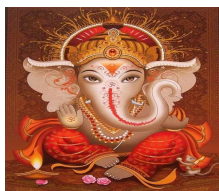


Date	Title/Link
	<u>clarifications-with-respect-to-circulars-dated-october-4-2021 56887.html</u>
Mar 08, 2022	Change in UPI limits - Revision to Operational Circular for issue and listing of Non-convertible Securities, Securitised Debt Instruments, Security Receipts, Municipal Debt Securities and Commercial Paper. <u>https://www.sebi.gov.in/legal/circulars/mar-2022/change-in-upi-limits-revision-to-operational-circular-for-issue-and-listing-of-non-convertible-securities-securitised-debt-instruments-security-receipts-municipal-debt-securities-and-commercial-p- 56665.html</u>
Mar 07, 2022	Automation of disclosure requirements under SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011-System Driven Disclosures - Ease of doing business. <u>https://www.sebi.gov.in/legal/circulars/mar-2022/automation-of-disclosure-requirements-under-sebi-substantial-acquisition-of-shares-and-takeovers-regulations-2011-system-driven-disclosures-ease-of-doing-business- 56655.html</u>
Feb 25, 2022	Extension to SEBI Circular on “Relaxation in adherence to prescribed timelines issued by SEBI due to Covid 19” dated April 13, 2020. <u>https://www.sebi.gov.in/legal/circulars/feb-2022/extension-to-sebi-circular-on-relaxation-in-adherence-to-prescribed-timelines-issued-by-sebi-due-to-covid-19-dated-april-13-2020 56425.html</u>
Feb 25, 2022	Swing pricing framework for mutual fund schemes – Extension of timeline. <u>https://www.sebi.gov.in/legal/circulars/feb-2022/swing-pricing-framework-for-mutual-fund-schemes-extension-of-timeline 56423.html</u>

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

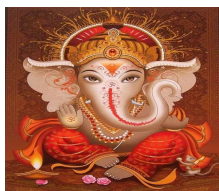


Date	Title/Link
Feb 25, 2022	Approach to securities market data access and terms of usage of data provided by data sources in Indian securities market. https://www.sebi.gov.in/legal/circulars/feb-2022/approach-to-securities-market-data-access-and-terms-of-usage-of-data-provided-by-data-sources-in-indian-securities-market_56420.html
Feb 24, 2022	Nomination for Eligible Trading and Demat Accounts – Extension of timelines and relaxations for existing account holders. https://www.sebi.gov.in/legal/circulars/feb-2022/nomination-for-eligible-trading-and-demat-accounts-extension-of-timelines-and-relaxations-for-existing-account-holders_56399.html
Feb 24, 2022	Segregation and Monitoring of Collateral at Client Level – Extension of timeline. https://www.sebi.gov.in/legal/circulars/feb-2022/segregation-and-monitoring-of-collateral-at-client-level-extension-of-timeline_56393.html
Feb 17, 2022	Corrigendum to Master Circular for Depositories dated February 05, 2021 on Opening of demat account in case of HUF. https://www.sebi.gov.in/legal/circulars/feb-2022/corrigendum-to-master-circular-for-depositories-dated-february-05-2021-on-opening-of-demat-account-in-case-of-huf_56130.html
Feb 14, 2022	Standard Operating Guidelines for the Vault Managers and Depositories - Electronic Gold Receipts (EGR) segment. https://www.sebi.gov.in/legal/circulars/feb-2022/standard-operating-guidelines-for-the-vault-managers-and-depositories-electronic-gold-receipts-eqr-segment_56064.html

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

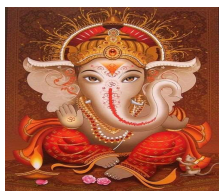


Date	Title/Link
Feb 14, 2022	Trading features pertaining to the Electronic Gold Receipts (EGR) segment. https://www.sebi.gov.in/legal/circulars/feb-2022/trading-features-pertaining-to-the-electronic-gold-receipts-egr-segment_56063.html
Feb 09, 2022	Circular on Audit Committee of Asset Management Companies (AMCs). https://www.sebi.gov.in/legal/circulars/feb-2022/circular-on-audit-committee-of-asset-management-companies-amcs-_55987.html
Feb 09, 2022	Conversion of Private Unlisted InvIT into Private Listed InvIT. https://www.sebi.gov.in/legal/circulars/feb-2022/conversion-of-private-unlisted-inv-it-into-private-listed-inv-it_55972.html
Feb 09, 2022	Framework for conversion of Private Listed InvIT into Public InvIT. https://www.sebi.gov.in/legal/circulars/feb-2022/framework-for-conversion-of-private-listed-inv-it-into-public-inv-it_55971.html
Feb 04, 2022	Disclosures in the abridged prospectus and front cover page of the offer Document. https://www.sebi.gov.in/legal/circulars/feb-2022/disclosures-in-the-abridged-prospectus-and-front-cover-page-of-the-offer-document_55920.html
Feb 04, 2022	Circular on Guidelines on Accounting with respect to Indian Accounting Standards (IND AS). https://www.sebi.gov.in/legal/circulars/feb-2022/circular-on-guidelines-on-accounting-with-respect-to-indian-accounting-standards-ind-as-_55919.html

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

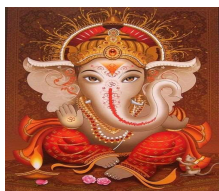


Date	Title/Link
Feb 01, 2022	Schemes of Arrangement by Listed Entities. https://www.sebi.gov.in/legal/circulars/feb-2022/schemes-of-arrangement-by-listed-entities_55805.html
Jan 31, 2022	Change in control of the asset management company involving scheme of arrangement under Companies Act, 2013. https://www.sebi.gov.in/legal/circulars/jan-2022/change-in-control-of-the-asset-management-company-involving-scheme-of-arrangement-under-companies-act-2013_55745.html
Jan 27, 2022	Introduction of Special Situation Funds as a sub-category under Category I AIFs. https://www.sebi.gov.in/legal/circulars/jan-2022/introduction-of-special-situation-funds-as-a-sub-category-under-category-i-aifs_55625.html
Jan 25, 2022	Issuance of Securities in dematerialized form in case of Investor Service Requests. https://www.sebi.gov.in/legal/circulars/jan-2022/issuance-of-securities-in-dematerialized-form-in-case-of-investor-service-requests_55542.html
Jan 10, 2022	Framework for operationalizing the Gold Exchange in India. https://www.sebi.gov.in/legal/circulars/jan-2022/framework-for-operationalizing-the-gold-exchange-in-india_55251.html
Jan 07, 2022	Disclosure obligations of high value debt listed entities in relation to Related Party Transactions.

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

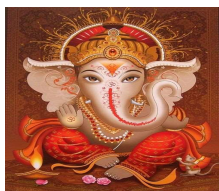


Date	Title/Link
	https://www.sebi.gov.in/legal/circulars/jan-2022/disclosure-obligations-of-high-value-debt-listed-entities-in-relation-to-related-party-transactions_55225.html
Jan 05, 2022	Increasing Awareness regarding Online Mechanisms for Investor Grievance Redressal. https://www.sebi.gov.in/legal/circulars/jan-2022/increasing-awareness-regarding-online-mechanisms-for-investor-grievance-redressal_55192.html
Jan 03, 2022	Schemes of Arrangement by Listed Entities - Clarification w.r.t. timing of submission of NOC from the lending scheduled commercial banks/ financial institutions/ debenture trustee. https://www.sebi.gov.in/legal/circulars/jan-2022/schemes-of-arrangement-by-listed-entities-clarification-w-r-t-timing-of-submission-of-noc-from-the-lending-scheduled-commercial-banks-financial-institutions-debenture-trustee_55166.html
Jan 03, 2022	Options on Commodity Futures – Modification in Exercise Mechanism. https://www.sebi.gov.in/legal/circulars/jan-2022/options-on-commodity-futures-modification-in-exercise-mechanism_55164.html
Dec 30, 2021	Extension of timeline for modified reporting requirements for AIFs. https://www.sebi.gov.in/legal/circulars/dec-2021/extension-of-timeline-for-modified-reporting-requirements-for-aifs_55108.html
Dec 29, 2021	Non-compliance with provisions related to continuous disclosures. https://www.sebi.gov.in/legal/circulars/dec-2021/non-compliance-with-provisions-related-to-continuous-disclosures_55070.html

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

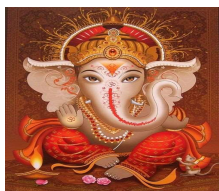


Date	Title/Link
Dec 22, 2021	Restoration of relaxed timelines w.r.t. validity of observation letter pertaining to Mutual Funds. https://www.sebi.gov.in/legal/circulars/dec-2021/restoration-of-relaxed-timelines-w-r-t-validity-of-observation-letter-pertaining-to-mutual-funds_54866.html
Dec 22, 2021	Extension of facility for conducting annual meeting and other meetings of unitholders of REITs and InvITs through Video Conferencing (VC) or through Other Audio-Visual means (OAVM). https://www.sebi.gov.in/legal/circulars/dec-2021/extension-of-facility-for-conducting-annual-meeting-and-other-meetings-of-unitholders-of-reits-and-invits-through-video-conferencing-vc-or-through-other-audio-visual-means-oavm-_54861.html
Dec 21, 2021	Investment Advisory Services for Accredited Investors. https://www.sebi.gov.in/legal/circulars/dec-2021/investment-advisory-services-for-accredited-investors_54830.html
Dec 21, 2021	Portfolio Management Services for Accredited Investors. https://www.sebi.gov.in/legal/circulars/dec-2021/portfolio-management-services-for-accredited-investors_54828.html
Dec 17, 2021	Revised Operational Circular for issue and listing of Non-convertible Securities, Securitised Debt Instruments, Security Receipts, Municipal Debt Securities and Commercial Paper. https://www.sebi.gov.in/legal/circulars/dec-2021/revised-operational-circular-for-issue-and-listing-of-non-convertible-securities-securitised-debt-instruments-security-receipts-municipal-debt-securities-and-commercial-paper_54704.html

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

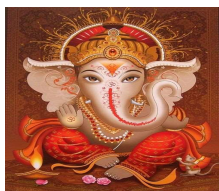


Date	Title/Link
Dec 17, 2021	Publishing of Investor Charter and Disclosure of Complaints by Custodians and DDPs on their websites. https://www.sebi.gov.in/legal/circulars/dec-2021/publishing-of-investor-charter-and-disclosure-of-complaints-by-custodians-and-ddps-on-their-websites_54693.html
Dec 17, 2021	Revision to Operational Circular for issue and listing of Non-convertible Securities, Securitised Debt Instruments, Security Receipts, Municipal Debt Securities and Commercial Paper. https://www.sebi.gov.in/legal/circulars/dec-2021/revision-to-operational-circular-for-issue-and-listing-of-non-convertible-securities-securitised-debt-instruments-security-receipts-municipal-debt-securities-and-commercial-paper_54680.html
Dec 16, 2021	Cut-off Time for generation of last Risk Parameter File (RPF) for client's margin collection purpose and modification in framework to enable verification of upfront collection of margins from clients in commodity derivatives segment. https://www.sebi.gov.in/legal/circulars/dec-2021/cut-off-time-for-generation-of-last-risk-parameter-file-rpf-for-client-s-margin-collection-purpose-and-modification-in-framework-to-enable-verification-of-upfront-collection-of-margins-from-clients-_54647.html
Dec 16, 2021	Publishing Investor Charter and Disclosure of Investor Complaints by Merchant Bankers on their Websites for private placement of units by InvITs proposed to be listed. https://www.sebi.gov.in/legal/circulars/dec-2021/publishing-investor-charter-and-disclosure-of-investor-complaints-by-merchant-bankers

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

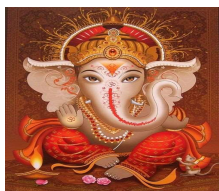


Date	Title/Link
	<i>on-their-websites-for-private-placement-of-units-by-invits-proposed-to-be-listed 54646.html</i>
Dec 14, 2021	Clarifications with respect to Circular dated November 03, 2021, on 'Common and simplified norms for processing investor's service request by RTAs and norms for furnishing PAN, KYC details and Nomination'. <i>https://www.sebi.gov.in/legal/circulars/dec-2021/clarifications-with-respect-to-circular-dated-november-03-2021-on-common-and-simplified-norms-for-processing-investor-s-service-request-by-rtas-and-norms-for-furnishing-pan-kyc-details- 54602.html</i>
Dec 13, 2021	Publishing of Investor Charter and disclosure of Investor Complaints by Investment Advisers on their websites/mobile applications. <i>https://www.sebi.gov.in/legal/circulars/dec-2021/publishing-of-investor-charter-and-disclosure-of-investor-complaints-by-investment-advisers-on-their-websites-mobile-applications_ 54585.html</i>
Dec 13, 2021	Publishing of Investor Charter and disclosure of Investor Complaints by Research Analysts on their websites/mobile applications. <i>https://www.sebi.gov.in/legal/circulars/dec-2021/publishing-of-investor-charter-and-disclosure-of-investor-complaints-by-research-analysts-on-their-websites-mobile-applications_ 54584.html</i>
Dec 10, 2021	Publishing of Investor Charter and disclosure of Investor Complaints by Portfolio Managers on their websites. <i>https://www.sebi.gov.in/legal/circulars/dec-2021/publishing-of-investor-charter-and-disclosure-of-investor-complaints-by-portfolio-managers-on-their-websites_ 54546.html</i>

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

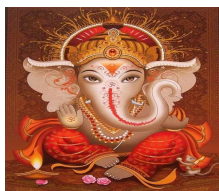


Date	Title/Link
Dec 10, 2021	Circular on Investor Charter and disclosure of Investor Complaints by Mutual funds on their websites and AMFI website. https://www.sebi.gov.in/legal/circulars/dec-2021/circular-on-investor-charter-and-disclosure-of-investor-complaints-by-mutual-funds-on-their-websites-and-amfi-website- 54545.html
Dec 10, 2021	Publishing Investor Charter and Disclosure of complaints by AIFs. https://www.sebi.gov.in/legal/circulars/dec-2021/publishing-investor-charter-and-disclosure-of-complaints-by-aifs 54544.html
Dec 10, 2021	Circular on Mutual Funds. https://www.sebi.gov.in/legal/circulars/dec-2021/circular-on-mutual-funds 54542.html
Dec 10, 2021	Clarification regarding amendment to SEBI (Portfolio Managers) Regulations, 2020. https://www.sebi.gov.in/legal/circulars/dec-2021/clarification-regarding-amendment-to-sebi-portfolio-managers-regulations-2020_54528.html
Dec 09, 2021	Transaction in Corporate Bonds through Request for Quote platform by Portfolio Management Services (PMS). https://www.sebi.gov.in/legal/circulars/dec-2021/transaction-in-corporate-bonds-through-request-for-quote-platform-by-portfolio-management-services-pms- 54523.html
Dec 02, 2021	Publishing Investor Charter and disclosure of Investor Complaints by Stock Brokers on their websites.

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

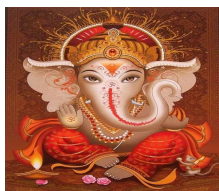


Date	Title/Link
	https://www.sebi.gov.in/legal/circulars/dec-2021/publishing-investor-charter-and-disclosure-of-investor-complaints-by-stock-brokers-on-their-websites_54402.html
Nov 30, 2021	Publishing of Investor Charter and disclosure of complaints by Debenture Trustees (DTs) on their Websites. https://www.sebi.gov.in/legal/circulars/nov-2021/publishing-of-investor-charter-and-disclosure-of-complaints-by-debenture-trustees-dts-on-their-websites_54349.html
Nov 26, 2021	Publishing Investor Charter and Disclosure of Investor Complaints by Merchant Bankers on their Websites for public offers by REITs and InvITs. https://www.sebi.gov.in/legal/circulars/nov-2021/publishing-investor-charter-and-disclosure-of-investor-complaints-by-merchant-bankers-on-their-websites-for-public-offers-by-reits-and-invits_54246.html
Nov 26, 2021	Publishing investor charter and disclosure of investor complaints by Merchant Bankers on their websites for private placements of Municipal debt securities. https://www.sebi.gov.in/legal/circulars/nov-2021/publishing-investor-charter-and-disclosure-of-investor-complaints-by-merchant-bankers-on-their-websites-for-private-placements-of-municipal-debt-securities_54244.html
Nov 26, 2021	Publishing Investor Charter and Disclosure of Complaints by Registrar and Share Transfer Agents (RTAs) on their Websites. https://www.sebi.gov.in/legal/circulars/nov-2021/publishing-investor-charter-and-disclosure-of-complaints-by-registrar-and-share-transfer-agents-rtas-on-their-websites_54224.html

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

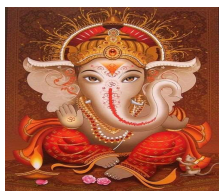


Date	Title/Link
Nov 26, 2021	Publishing Investor Charter and Disclosure of Complaints by Merchant Bankers on their Websites – Debt Market. https://www.sebi.gov.in/legal/circulars/nov-2021/publishing-investor-charter-and-disclosure-of-complaints-by-merchant-bankers-on-their-websites-debt-market_54223.html
Nov 24, 2021	Norms for Silver Exchange Traded Funds (Silver ETFs) and Gold Exchange Traded Funds (Gold ETFs). https://www.sebi.gov.in/legal/circulars/nov-2021/norms-for-silver-exchange-traded-funds-silver-etfs-and-gold-exchange-traded-funds-gold-etfs_54166.html
Nov 23, 2021	Disclosure of Complaints against the Stock Exchanges (excluding Commodity Derivatives Exchanges)/Depositories/Clearing Corporations. https://www.sebi.gov.in/legal/circulars/nov-2021/disclosure-of-complaints-against-the-stock-exchanges-excluding-commodity-derivatives-exchanges-depositories-clearing-corporations_54165.html
Nov 23, 2021	Segregation and Monitoring of Collateral at Client Level – Extension of timeline. https://www.sebi.gov.in/legal/circulars/nov-2021/segregation-and-monitoring-of-collateral-at-client-level-extension-of-timeline_54149.html
Nov 23, 2021	Publishing Investor Charter and Disclosure of Complaints by Merchant Bankers on their Websites. https://www.sebi.gov.in/legal/circulars/nov-2021/publishing-investor-charter-and-disclosure-of-complaints-by-merchant-bankers-on-their-websites_54147.html

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

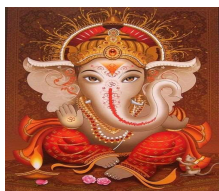


Date	Title/Link
Nov 23, 2021	Non-compliance with certain provisions of SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2018. https://www.sebi.gov.in/legal/circulars/nov-2021/non-compliance-with-certain-provisions-of-sebi-issue-of-capital-and-disclosure-requirements-regulations-2018_54130.html
Nov 22, 2021	Disclosure obligations of listed entities in relation to Related Party Transactions. https://www.sebi.gov.in/legal/circulars/nov-2021/disclosure-obligations-of-listed-entities-in-relation-to-related-party-transactions_54113.html
Nov 22, 2021	Clarifications regarding amendment to SEBI (Alternative Investment Funds) Regulations, 2012. https://www.sebi.gov.in/legal/circulars/nov-2021/clarifications-regarding-amendment-to-sebi-alternative-investment-funds-regulations-2012_54112.html
Nov 18, 2021	Addendum to SEBI Circular dated November 16, 2021 relating to Schemes of Arrangement by Listed Entities. https://www.sebi.gov.in/legal/circulars/nov-2021/addendum-to-sebi-circular-dated-november-16-2021-relating-to-schemes-of-arrangement-by-listed-entities_54056.html
Nov 16, 2021	Framework for Regulatory Sandbox. https://www.sebi.gov.in/legal/circulars/nov-2021/framework-for-regulatory-sandbox_53982.html
Nov 16, 2021	Scheme of Arrangement by Listed Entities.

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

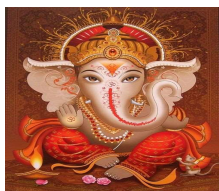


Date	Title/Link
	https://www.sebi.gov.in/legal/circulars/nov-2021/scheme-of-arrangement-by-listed-entities_53967.html
Nov 08, 2021	Write-off of debt securities held by FPIs who intend to surrender their registration. https://www.sebi.gov.in/legal/circulars/nov-2021/write-off-of-debt-securities-held-by-fpis-who-intend-to-surrender-their-registration_53798.html
Nov 03, 2021	Common and Simplified Norms for processing investor's service request by RTAs and norms for furnishing PAN, KYC details and Nomination. https://www.sebi.gov.in/legal/circulars/nov-2021/common-and-simplified-norms-for-processing-investor-s-service-request-by-rtas-and-norms-for-furnishing-pan-kyc-details-and-nomination_53787.html
Oct 28, 2021	Investment/ trading in securities by employees and Board members of AMC(s) and Trustees of Mutual Funds. https://www.sebi.gov.in/legal/circulars/oct-2021/investment-trading-in-securities-by-employees-and-board-members-of-amc-s-and-trustees-of-mutual-funds_53618.html
Oct 28, 2021	Maintenance of current accounts in multiple banks by Stock Brokers. https://www.sebi.gov.in/legal/circulars/oct-2021/maintenance-of-current-accounts-in-multiple-banks-by-stock-brokers_53576.html
Oct 27, 2021	Guiding Principles for bringing uniformity in Benchmarks of Mutual Fund Schemes.

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

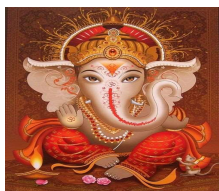


Date	Title/Link
	https://www.sebi.gov.in/legal/circulars/oct-2021/guiding-principles-for-bringing-uniformity-in-benchmarks-of-mutual-fund-schemes_53539.html
Oct 22, 2021	Amendment to SEBI Circulars pertaining to Investor Grievance Redressal System and Arbitration Mechanism. https://www.sebi.gov.in/legal/circulars/oct-2021/amendment-to-sebi-circulars-pertaining-to-investor-grievance-redressal-system-and-arbitration-mechanism_53450.html
Oct 22, 2021	Amendment to SEBI Circular pertaining to Investor Protection Fund (IPF)/Investor Service Fund (ISF) and its related matters. https://www.sebi.gov.in/legal/circulars/oct-2021/amendment-to-sebi-circular-pertaining-to-investor-protection-fund-ipf-investor-service-fund-isf-and-its-related-matters_53448.html
Oct 21, 2021	Modalities for filing of placement memorandum through a Merchant Banker under SEBI (Alternative Investment Funds) Regulations, 2012. https://www.sebi.gov.in/legal/circulars/oct-2021/modalities-for-filing-of-placement-memorandum-through-a-merchant-banker-under-sebi-alternative-investment-funds-regulations-2012_53379.html
Oct 18, 2021	Transmission of Securities to Joint Holder(s). https://www.sebi.gov.in/legal/circulars/oct-2021/transmission-of-securities-to-joint-holder-s-_53313.html
Oct 14, 2021	Streamlining of issuance of SCORES Authentication. https://www.sebi.gov.in/legal/circulars/oct-2021/streamlining-of-issuance-of-scores-authentication_53291.html

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

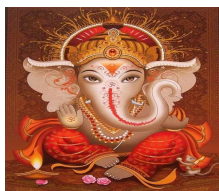


Date	Title/Link
Oct 14, 2021	<i>Revised Formats for Limited Review/ Audit Report for issuers of non-convertible securities.</i> https://www.sebi.gov.in/legal/circulars/oct-2021/revised-formats-for-limited-review-audit-report-for-issuers-of-non-convertible-securities_53279.html
Oct 06, 2021	<i>Circular on Minimum percentage of trades carried out by mutual funds through RFQ platform.</i> https://www.sebi.gov.in/legal/circulars/oct-2021/circular-on-minimum-percentage-of-trades-carried-out-by-mutual-funds-through-rfq-platform_53145.html
Oct 05, 2021	<i>Amendments to manner and mechanism of providing exit option to dissenting unit holders pursuant to Regulation 22(5C) and Regulation 22(7) of SEBI Infrastructure Investment Trusts Regulations, 2014 ("SEBI (InvIT) Regulations").</i> https://www.sebi.gov.in/legal/circulars/oct-2021/amendments-to-manner-and-mechanism-of-providing-exit-option-to-dissenting-unit-holders-pursuant-to-regulation-22-5c-and-regulation-22-7-of-sebi-infrastructure-investment-trusts-regulations-2014-s-_53139.html
Oct 05, 2021	<i>Amendments to manner and mechanism of providing exit option to dissenting unit holders pursuant to Regulation 22(6A) and Regulation 22(8) of SEBI (Real Estate Investment Trusts) Regulations, 2014 ("SEBI (REIT) Regulations").</i> https://www.sebi.gov.in/legal/circulars/oct-2021/amendments-to-manner-and-mechanism-of-providing-exit-option-to-dissenting-unit-holders-pursuant-to-regulation-22-6a-and-regulation-22-8-of-sebi-real-estate-investment-trusts-regulations-2014-se-_53137.html

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

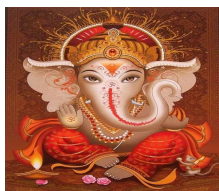


Date	Title/Link
Oct 05, 2021	Revised Formats for filing Financial information for issuers of non-convertible securities. https://www.sebi.gov.in/legal/circulars/oct-2021/revised-formats-for-filing-financial-information-for-issuers-of-non-convertible-securities_53136.html
Oct 04, 2021	Disclosure of Complaints against the Stock Exchanges and the Clearing Corporations. https://www.sebi.gov.in/legal/circulars/oct-2021/disclosure-of-complaints-against-the-stock-exchanges-and-the-clearing-corporations_53112.html
Oct 04, 2021	Discontinuation of usage of pool accounts for transactions in units of Mutual Funds on the Stock Exchange Platforms. https://www.sebi.gov.in/legal/circulars/oct-2021/discontinuation-of-usage-of-pool-accounts-for-transactions-in-units-of-mutual-funds-on-the-stock-exchange-platforms_53104.html
Oct 04, 2021	Circular on Mutual Funds. https://www.sebi.gov.in/legal/circulars/oct-2021/circular-on-mutual-funds_53103.html
Oct 01, 2021	Relaxations relating to procedural matters –Issues and Listing. https://www.sebi.gov.in/legal/circulars/oct-2021/relaxations-relating-to-procedural-matters-issues-and-listing_53083.html
Sep 30, 2021	Guidelines for Investment Advisers - Extension of timelines.

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

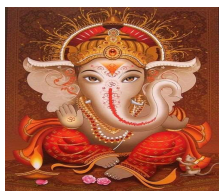


Date	Title/Link
	https://www.sebi.gov.in/legal/circulars/sep-2021/guidelines-for-investment-advisers-extension-of-timelines_53049.html
Sep 29, 2021	Circular on Swing pricing framework for mutual fund schemes. https://www.sebi.gov.in/legal/circulars/sep-2021/circular-on-swing-pricing-framework-for-mutual-fund-schemes_52997.html
Sep 27, 2021	Risk Management Framework (RMF) for Mutual Funds. https://www.sebi.gov.in/legal/circulars/sep-2021/risk-management-framework-rmf-for-mutual-funds_52943.html
Sep 20, 2021	Clarifications with respect to Circular dated April 28, 2021 on 'Alignment of interest of Key Employees ('Designated Employees') of Asset Management Companies (AMCs) with the Unitholders of the Mutual Fund Schemes'. https://www.sebi.gov.in/legal/circulars/sep-2021/clarifications-with-respect-to-circular-dated-april-28-2021-on-alignment-of-interest-of-key-employees-designated-employees-of-asset-management-companies-amcs-with-the-unitholders-of-the-mutual-fund-schemes_52703.html
Sep 07, 2021	Introduction of T+1 rolling settlement on an optional basis. https://www.sebi.gov.in/legal/circulars/sep-2021/introduction-of-t-1-rolling-settlement-on-an-optional-basis_52462.html
Sep 07, 2021	Position Limits for Currency Derivatives Contracts. https://www.sebi.gov.in/legal/circulars/sep-2021/position-limits-for-currency-derivatives-contracts_52450.html

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

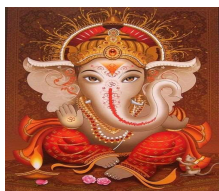


Date	Title/Link
Sep 02, 2021	Amendment to SEBI Circular SEBI/HO/DMS/CIR/P/2017/15 dated February 23, 2017 on Amendment pursuant to comprehensive review of Investor Grievance Redressal Mechanism. https://www.sebi.gov.in/legal/circulars/sep-2021/amendment-to-sebi-circular-sebi-ho-dms-cir-p-2017-15-dated-february-23-2017-on-amendment-pursuant-to-comprehensive-review-of-investor-grievance-redressal-mechanism_52345.html
Sep 02, 2021	Alignment of interest of Asset Management Companies ('AMCs') with the Unitholders of the Mutual Fund Schemes. https://www.sebi.gov.in/legal/circulars/sep-2021/alignment-of-interest-of-asset-management-companies-amcs-with-the-unitholders-of-the-mutual-fund-schemes_52344.html
Sep 01, 2021	Revised guidelines for Liquidity Enhancement Scheme in the Equity Cash and Equity Derivatives Segments. https://www.sebi.gov.in/legal/circulars/sep-2021/revised-guidelines-for-liquidity-enhancement-scheme-in-the-equity-cash-and-equity-derivatives-segments_52305.html
Aug 31, 2021	Disclosure of risk-o-meter of scheme, benchmark and portfolio details to the investors. https://www.sebi.gov.in/legal/circulars/aug-2021/disclosure-of-risk-o-meter-of-scheme-benchmark-and-portfolio-details-to-the-investors_52262.html
Aug 31, 2021	Extension of time for seeking membership of BSE Administration & Supervision Limited.

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

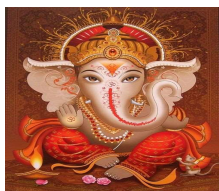


Date	Title/Link
	https://www.sebi.gov.in/legal/circulars/aug-2021/extension-of-time-for-seeking-membership-of-bse-administration-and-supervision-limited_52237.html
Aug 26, 2021	Circular on Modalities for implementation of the framework for Accredited Investors. https://www.sebi.gov.in/legal/circulars/aug-2021/circular-on-modalities-for-implementation-of-the-framework-for-accredited-investors_52116.html
Aug 17, 2021	Penalty for Repeated Delivery Default. https://www.sebi.gov.in/legal/circulars/aug-2021/penalty-for-repeated-delivery-default_51902.html
Aug 13, 2021	‘Security and Covenant Monitoring’ using Distributed Ledger Technology. https://www.sebi.gov.in/legal/circulars/aug-2021/-security-and-covenant-monitoring-using-distributed-ledger-technology_51855.html
Aug 13, 2021	Tendering of shares in open offers, buybacks and delisting offers by marking lien in the demat account of the shareholders. https://www.sebi.gov.in/legal/circulars/aug-2021/tendering-of-shares-in-open-offers-buybacks-and-delisting-offers-by-marking-lien-in-the-demat-account-of-the-shareholders_51849.html
Aug 13, 2021	Automation of Continual Disclosures under Regulation 7(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015 - System driven disclosures - Ease of doing business.

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

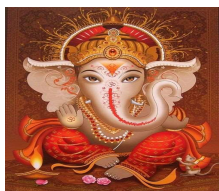


Date	Title/Link
	https://www.sebi.gov.in/legal/circulars/aug-2021/automation-of-continual-disclosures-under-regulation-7-2-of-sebi-prohibition-of-insider-trading-regulations-2015-system-driven-disclosures-ease-of-doing-business_51848.html
Aug 13, 2021	Disclosure of shareholding pattern of promoter(s) and promoter group entities. https://www.sebi.gov.in/legal/circulars/aug-2021/disclosure-of-shareholding-pattern-of-promoter-s-and-promoter-group-entities_51847.html
Aug 13, 2021	Guidelines on issuance of non-convertible debt instruments along with warrants ('NCDs with Warrants') in terms of Chapter VI – Qualified Institutions Placement of SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2018. https://www.sebi.gov.in/legal/circulars/aug-2021/guidelines-on-issuance-of-non-convertible-debt-instruments-along-with-warrants-ncds-with-warrants-in-terms-of-chapter-vi-qualified-institutions-placement-of-sebi-issue-of-capital-and-disclosure-_51827.html
Aug 10, 2021	Operational Circular for issue and listing of Non-Convertible Securities (NCS), Securitised Debt Instruments (SDI), Security Receipts (SR), Municipal Debt Securities and Commercial Paper (CP). https://www.sebi.gov.in/legal/circulars/aug-2021/operational-circular-for-issue-and-listing-of-non-convertible-securities-ncs-securitised-debt-instruments-sdi-security-receipts-sr-municipal-debt-securities-and-commercial-paper-cp-_51761.html
Aug 09, 2021	Calendar Spread margin benefit in commodity futures contracts.

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

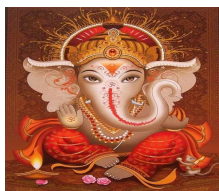


Date	Title/Link
	https://www.sebi.gov.in/legal/circulars/aug-2021/calendar-spread-margin-benefit-in-commodity-futures-contracts_51737.html
Aug 04, 2021	Requirement of minimum number and holding of unit holders for unlisted Infrastructure Investment Trusts (InvITs). https://www.sebi.gov.in/legal/circulars/aug-2021/requirement-of-minimum-number-and-holding-of-unit-holders-for-unlisted-infrastructure-investment-trusts-invits_51631.html
Aug 04, 2021	Maintenance of Current Accounts in multiple banks by Mutual Funds. https://www.sebi.gov.in/legal/circulars/aug-2021/maintenance-of-current-accounts-in-multiple-banks-by-mutual-funds_51630.html
Aug 04, 2021	Modification in Operational Guidelines for FPIs and DDPs pursuant to amendment in SEBI (Foreign Portfolio Investors) Regulations, 2019. https://www.sebi.gov.in/legal/circulars/aug-2021/modification-in-operational-guidelines-for-fpis-and-ddps-pursuant-to-amendment-in-sebi-foreign-portfolio-investors-regulations-2019_51628.html
Aug 03, 2021	Permitting non-scheduled Payments Banks to register as Bankers to an Issue. https://www.sebi.gov.in/legal/circulars/aug-2021/permitting-non-scheduled-payments-banks-to-register-as-bankers-to-an-issue_51595.html
Jul 30, 2021	Circular on Intra-day Net Asset Value (NAV) for transactions in units of Exchange Traded Funds directly with Asset Management Companies.

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

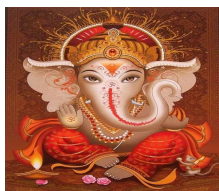


Date	Title/Link
	https://www.sebi.gov.in/legal/circulars/jul-2021/circular-on-intra-day-net-asset-value-nav-for-transactions-in-units-of-exchange-traded-funds-directly-with-asset-management-companies_51520.html
Jul 30, 2021	Deployment of unclaimed redemption and dividend amounts and Instant Access Facility in Overnight Funds. https://www.sebi.gov.in/legal/circulars/jul-2021/deployment-of-unclaimed-redemption-and-dividend-amounts-and-instant-access-facility-in-overnight-funds_51513.html
Jul 30, 2021	Relaxation in timelines for compliance with regulatory requirements. https://www.sebi.gov.in/legal/circulars/jul-2021/relaxation-in-timelines-for-compliance-with-regulatory-requirements_51497.html
Jul 26, 2021	Circular on RTA inter-operable Platform for enhancing investors' experience in Mutual Fund transactions / service requests. https://www.sebi.gov.in/legal/circulars/jul-2021/circular-on-rta-inter-operable-platform-for-enhancing-investors-experience-in-mutual-fund-transactions-service-requests_51395.html
Jul 23, 2021	Circular on Timelines related to processing of scheme related applications filed by AMCs. https://www.sebi.gov.in/legal/circulars/jul-2021/circular-on-timelines-related-to-processing-of-scheme-related-applications-filed-by-amcs_51326.html
Jul 23, 2021	Extension of time for holding the Annual General Meeting (AGM) by top 100 listed entities by market capitalization.

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

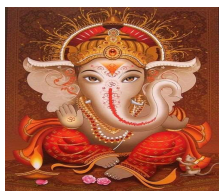


Date	Title/Link
	https://www.sebi.gov.in/legal/circulars/jul-2021/extension-of-time-for-holding-the-annual-general-meeting-agm-by-top-100-listed-entities-by-market-capitalization_51318.html
Jul 23, 2021	Nomination for Eligible Trading and Demat Accounts. https://www.sebi.gov.in/legal/circulars/jul-2021/nomination-for-eligible-trading-and-demat-accounts_51313.html
Jul 22, 2021	Continuous disclosures in compliances by REITs - Amendments. https://www.sebi.gov.in/legal/circulars/jul-2021/continuous-disclosures-in-compliances-by-reits-amendments_51305.html
Jul 22, 2021	Continuous disclosures in compliances by InvITs - Amendments. https://www.sebi.gov.in/legal/circulars/jul-2021/continuous-disclosures-in-compliances-by-invits-amendments_51301.html
Jul 20, 2021	Relaxation in timelines for compliance with regulatory requirements by Debenture Trustees due to Covid-19. https://www.sebi.gov.in/legal/circulars/jul-2021/relaxation-in-timelines-for-compliance-with-regulatory-requirements-by-debenture-trustees-due-to-covid-19_51268.html
Jul 20, 2021	Segregation and Monitoring of Collateral at Client Level. https://www.sebi.gov.in/legal/circulars/jul-2021/segregation-and-monitoring-of-collateral-at-client-level_51265.html
Jul 16, 2021	Introduction of Expected Loss (EL) based Rating Scale and Standardisation of Rating Scales Used by Credit Rating Agencies.

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

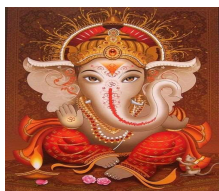


Date	Title/Link
	https://www.sebi.gov.in/legal/circulars/jul-2021/introduction-of-expected-loss-el-based-rating-scale-and-standardisation-of-rating-scales-used-by-credit-rating-agencies_51197.html
Jul 16, 2021	Block Mechanism in demat account of clients undertaking sale transactions. https://www.sebi.gov.in/legal/circulars/jul-2021/block-mechanism-in-demat-account-of-clients-undertaking-sale-transactions_51170.html
Jul 09, 2021	Valuation of securities with multiple put options present ab-initio. https://www.sebi.gov.in/legal/circulars/jul-2021/valuation-of-securities-with-multiple-put-options-present-ab-initio_50979.html
Jul 08, 2021	Review of Advance Intimation timelines for modifications in the contract specifications of commodity derivative contracts. https://www.sebi.gov.in/legal/circulars/jul-2021/review-of-advance-intimation-timelines-for-modifications-in-the-contract-specifications-of-commodity-derivative-contracts_50958.html
Jul 06, 2021	Standard Operating Procedure for listed subsidiary company desirous of getting delisted through a Scheme of Arrangement wherein the listed parent holding company and the listed subsidiary are in the same line of business. https://www.sebi.gov.in/legal/circulars/jul-2021/standard-operating-procedure-for-listed-subsidiary-company-desirous-of-getting-delisted-through-a-scheme-of-arrangement-wherein-the-listed-parent-holding-company-and-the-listed-subsidiary-are-in-the-s-50926.html

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

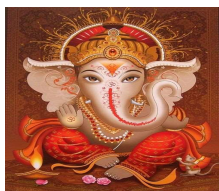


Date	Title/Link
Jul 05, 2021	Standard Operating Procedure for handling of technical glitches by Market Infrastructure Institutions (MIIs) and payment of “Financial Disincentives” thereof. https://www.sebi.gov.in/legal/circulars/jul-2021/standard-operating-procedure-for-handling-of-technical-glitches-by-market-infrastructure-institutions-miis-and-payment-of-financial-disincentives-thereof_50903.html
Jul 05, 2021	Issue of No Objection Certificate for release of 1% of Issue amount. https://www.sebi.gov.in/legal/circulars/jul-2021/issue-of-no-objection-certificate-for-release-of-1-of-issue-amount_50898.html
Jun 30, 2021	Relaxation in timelines for compliance with regulatory requirements. https://www.sebi.gov.in/legal/circulars/jun-2021/relaxation-in-timelines-for-compliance-with-regulatory-requirements_50801.html
Jun 29, 2021	Cross Margin in Commodity Index Futures and its underlying constituent futures or its variants. https://www.sebi.gov.in/legal/circulars/jun-2021/cross-margin-in-commodity-index-futures-and-its-underlying-constituent-futures-or-its-variants_50740.html
Jun 25, 2021	Circular on Amendment to SEBI (Alternative Investment Funds) Regulations, 2012. https://www.sebi.gov.in/legal/circulars/jun-2021/circular-on-amendment-to-sebi-alternative-investment-funds-regulations-2012_50694.html

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

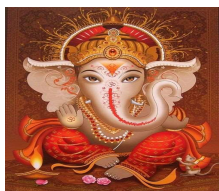


Date	Title/Link
Jun 25, 2021	Alignment of interest of Key Employees of Asset Management Companies (AMCs) with the Unitholders of the Mutual Fund Schemes. https://www.sebi.gov.in/legal/circulars/jun-2021/alignment-of-interest-of-key-employees-of-asset-management-companies-amcs-with-the-unitholders-of-the-mutual-fund-schemes_50693.html
Jun 25, 2021	Circular on Prudential norms for liquidity risk management for open ended debt schemes. https://www.sebi.gov.in/legal/circulars/jun-2021/circular-on-prudential-norms-for-liquidity-risk-management-for-open-ended-debt-schemes_50692.html
Jun 18, 2021	Norms for investment and disclosure by Mutual Funds in Derivatives. https://www.sebi.gov.in/legal/circulars/jun-2021/norms-for-investment-and-diclosure-by-mutual-funds-in-derivatives_50612.html
Jun 18, 2021	Framework for administration and supervision of Investment Advisers under the SEBI (Investment Advisers) Regulations, 2013. https://www.sebi.gov.in/legal/circulars/jun-2021/framework-for-administration-and-supervision-of-investment-advisers-under-the-sebi-investment-advisers-regulations-2013_50605.html
Jun 16, 2021	Automation of Continual Disclosures under Regulation 7(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015 - System Driven Disclosures for inclusion of listed Debt Securities. https://www.sebi.gov.in/legal/circulars/jun-2021/automation-of-continual-disclosures-under-regulation-7-2-of-sebi-prohibition-of-insider-trading-regulations-2015-system-driven-disclosures-for-inclusion-of-listed-debt-securities_50572.html

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

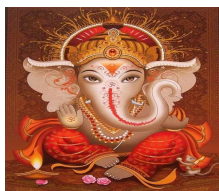


Date	Title/Link
Jun 16, 2021	Settlement of Running Account of Client's Funds lying with Trading Member (TM). https://www.sebi.gov.in/legal/circulars/jun-2021/settlement-of-running-account-of-client-s-funds-lying-with-trading-member-tm-50570.html
Jun 15, 2021	Relaxation from the requirement of minimum vesting period in case of death of employee(s) under SEBI (Share Based Employee Benefit) Regulations, 2014. https://www.sebi.gov.in/legal/circulars/jun-2021/relaxation-from-the-requirement-of-minimum-vesting-period-in-case-of-death-of-employee-s-under-sebi-share-based-employee-benefit-regulations-2014-50545.html
Jun 14, 2021	Revised Framework for Regulatory Sandbox. https://www.sebi.gov.in/legal/circulars/jun-2021/revised-framework-for-regulatory-sandbox-50521.html
Jun 07, 2021	Circular on Potential Risk Class Matrix for debt schemes based on Interest Rate Risk and Credit Risk. https://www.sebi.gov.in/legal/circulars/jun-2021/circular-on-potential-risk-class-matrix-for-debt-schemes-based-on-interest-rate-risk-and-credit-risk-50440.html
Jun 04, 2021	Centralized Database for Corporate Bonds/ Debentures. https://www.sebi.gov.in/legal/circulars/jun-2021/centralized-database-for-corporate-bonds-debentures-50421.html
Jun 03, 2021	Circular on Enhancement of Overseas Investment Limits.

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

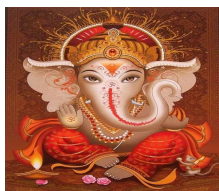


Date	Title/Link
	https://www.sebi.gov.in/legal/circulars/jun-2021/circular-on-enhancement-of-overseas-investment-limits_50415.html
Jun 02, 2021	Streamlining the process of IPOs with UPI in ASBA and redressal of investors grievances. https://www.sebi.gov.in/legal/circulars/jun-2021/streamlining-the-process-of-ipos-with-upi-in-asba-and-redressal-of-investors-grievances_50401.html
Jun 01, 2021	'Off-market' transfer of securities by FPI. https://www.sebi.gov.in/legal/circulars/jun-2021/-off-market-transfer-of-securities-by-fpi_50380.html
May 31, 2021	Circular on Relaxation in compliance with requirements pertaining to AIFs and VCFs. https://www.sebi.gov.in/legal/circulars/may-2021/circular-on-relaxation-in-compliance-with-requirements-pertaining-to-aifs-and-vcfs-_50361.html
May 31, 2021	Disclosure of the following only w.r.t schemes which are subscribed by the investor: a. risk-o-meter of the scheme and the benchmark along with the performance disclosure of the scheme vis-a-vis benchmark and b. Details of the portfolio. https://www.sebi.gov.in/legal/circulars/may-2021/disclosure-of-the-following-only-w-r-t-schemes-which-are-subscribed-by-the-investor-a-risk-o-meter-of-the-scheme-and-the-benchmark-along-with-the-performance-disclosure-of-the-scheme-vis-a-vis-bench-_50344.html

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

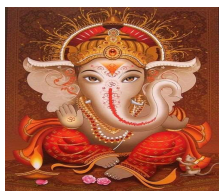


Date	Title/Link
May 31, 2021	Format of compliance report on Corporate Governance by Listed Entities. https://www.sebi.gov.in/legal/circulars/may-2021/format-of-compliance-report-on-corporate-governance-by-listed-entities_50338.html
May 21, 2021	Enhancement of overall limit for overseas investment by Alternative Investment Funds (AIFs)/Venture Capital Funds (VCFs). https://www.sebi.gov.in/legal/circulars/may-2021/enhancement-of-overall-limit-for-overseas-investment-by-alternative-investment-funds-aifs-venture-capital-funds-vcfs_50219.html
May 14, 2021	Relaxation from compliance to REITs and InvITs due to the CoVID -19 virus pandemic. https://www.sebi.gov.in/legal/circulars/may-2021/relaxation-from-compliance-to-reits-and-invits-due-to-the-covid-19-virus-pandemic_50127.html
May 12, 2021	Procedure for seeking prior approval for change in control of SEBI registered Portfolio Managers. https://www.sebi.gov.in/legal/circulars/may-2021/procedure-for-seeking-prior-approval-for-change-in-control-of-sebi-registered-portfolio-managers_50116.html
May 10, 2021	Business responsibility and sustainability reporting by listed entities. https://www.sebi.gov.in/legal/circulars/may-2021/business-responsibility-and-sustainability-reporting-by-listed-entities_50096.html

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

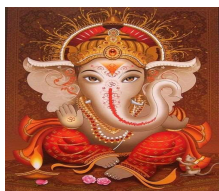


Date	Title/Link
May 03, 2021	Relaxation in timelines for compliance with regulatory requirements by Debenture Trustees due to the CoVID-19 pandemic. https://www.sebi.gov.in/legal/circulars/may-2021/relaxation-in-timelines-for-compliance-with-regulatory-requirements-by-debenture-trustees-due-to-the-covid-19-pandemic_50042.html
Apr 30, 2021	Timelines for updation of Scheme Information Document (SID) and Key Information Memorandum (KIM). https://www.sebi.gov.in/legal/circulars/apr-2021/timelines-for-updation-of-scheme-information-document-sid-and-key-information-memorandum-kim_50020.html
Apr 29, 2021	Relaxation in timelines for compliance with regulatory requirements. https://www.sebi.gov.in/legal/circulars/apr-2021/relaxation-in-timelines-for-compliance-with-regulatory-requirements_50007.html
Apr 29, 2021	Addendum to SEBI Circular on “Relaxation in adherence to prescribed timelines issued by SEBI due to Covid 19” dated April 13, 2020. https://www.sebi.gov.in/legal/circulars/apr-2021/addendum-to-sebi-circular-on-relaxation-in-adherence-to-prescribed-timelines-issued-by-sebi-due-to-covid-19-dated-april-13-2020_50006.html
Apr 29, 2021	Relaxation from compliance with certain provisions of the SEBI (Listing Obligations Disclosure Requirements) Regulations, 2015 / other applicable circulars due to the CoVID-19 pandemic. https://www.sebi.gov.in/legal/circulars/apr-2021/relaxation-from-compliance-with-certain-provisions-of-the-sebi-listing-obligations-disclosure-requirements-regulations-2015-other-applicable-circulars-due-to-the-covid-19-pandemic_50001.html

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

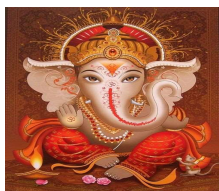


Date	Title/Link
Apr 29, 2021	Relaxation from compliance with certain provisions of the SEBI (Listing Obligations Disclosure Requirements) Regulations, 2015 due to the CoVID-19 pandemic. https://www.sebi.gov.in/legal/circulars/apr-2021/relaxation-from-compliance-with-certain-provisions-of-the-sebi-listing-obligations-disclosure-requirements-regulations-2015-due-to-the-covid-19-pandemic_50000.html
Apr 29, 2021	Disclosure of the following only w.r.t schemes which are subscribed by the investor: (a) risk-o-meter of the scheme and the benchmark along with the performance disclosure of the scheme vis-à-vis benchmark and (b) Details of the portfolio. https://www.sebi.gov.in/legal/circulars/apr-2021/disclosure-of-the-following-only-w-r-t-schemes-which-are-subscribed-by-the-investor-a-risk-o-meter-of-the-scheme-and-the-benchmark-along-with-the-performance-disclosure-of-the-scheme-vis-vis-ben-49992.html
Apr 28, 2021	Alignment of interest of Key Employees of Asset Management Companies (AMCs) with the Unitholders of the Mutual Fund Schemes. https://www.sebi.gov.in/legal/circulars/apr-2021/alignment-of-interest-of-key-employees-of-asset-management-companies-amcs-with-the-unitholders-of-the-mutual-fund-schemes_49979.html
Apr 27, 2021	Standardizing and Strengthening Policies on Provisional Rating by Credit Rating Agencies (CRAs) for Debt Instruments https://www.sebi.gov.in/legal/circulars/apr-2021/standardizing-and-strengthening-policies-on-provisional-rating-by-credit-rating-agencies-cras-for-debt-instruments_49951.html

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com

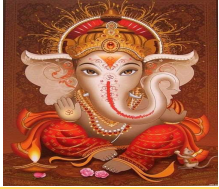


Date	Title/Link
Apr 22, 2021	Relaxations relating to procedural matters –Issues and Listing https://www.sebi.gov.in/legal/circulars/apr-2021/relaxations-relating-to-procedural-matters-issues-and-listing_49900.html
Apr 16, 2021	Circular on Guidelines for warehousing norms for Agricultural and Agri-processed goods and non-agricultural goods (only base and industrial metals) https://www.sebi.gov.in/legal/circulars/apr-2021/circular-on-guidelines-for-warehousing-norms-for-agricultural-and-agri-processed-goods-and-non-agricultural-goods-only-base-and-industrial-metals-49838.html
Apr 12, 2021	Circular on Reporting Formats for Mutual Funds https://www.sebi.gov.in/legal/circulars/apr-2021/circular-on-reporting-formats-for-mutual-funds_49813.html
Apr 07, 2021	Circular on Regulatory Reporting by AIFs https://www.sebi.gov.in/legal/circulars/apr-2021/circular-on-regulatory-reporting-by-aifs_49788.html
Apr 06, 2021	Setting up of Limited Purpose Clearing Corporation (LPCC) by Asset Management Companies (AMCs) of Mutual Funds https://www.sebi.gov.in/legal/circulars/apr-2021/setting-up-of-limited-purpose-clearing-corporation-lpcc-by-asset-management-companies-amcs-of-mutual-funds_49770.html

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com



DISCLAIMER: the details have been taken from <https://www.sebi.gov.in/sebiweb/home/HomeAction.do?doListing=yes&sid=1&ssid=7&smid=0> and produced here only for information and knowledge of readers.

CS DEEPAK P. SINGH

Mobile No. +91 9920830041/7506904961

Email ID: cs.deepakpsingh@gmail.com/ask.csdeepakpsingh@gmail.com