

DATE _____

UNDERTAKING

I hereby apply for allotment of AMFI Registration Number (ARN) by Association of Mutual Funds in India (AMFI). I acknowledge that allotment of ARN is solely for the purpose of enabling me to empanel with AMC for distribution of Mutual Fund schemes.

I warrant that I will canvass business of mutual fund products in accordance with SEBI Regulations and AMFI Guidelines and Norms for Intermediaries (AGNI) including Code of Conduct and any Rules and Regulations that may be framed or amended by SEBI/ AMFI from time to time.

I confirm that I have truthfully filled up the Form above and supplied all the information therein which is considered relevant for the purposes of allotment of ARN. I shall promptly notify AMFI of any changes in the information during the period ARN is in force.

I understand that allotment of ARN by AMFI is in accordance with the requirement stipulated by SEBI for marketing Mutual Fund product and should not, in any way, be deemed to imply that AMFI takes any responsibility for any of my acts as intermediary or has vouched for my credentials as intermediary and I shall bring this to the notice of all concerned while acting as intermediary.

I undertake that any breach of Guidelines and Code of Conduct or any Rules and Regulations framed by SEBI/ AMFI will render my registration liable to be cancelled.

Place:

SIGNATURE OF THE APPLICANT

Date:

The prescribed fees can be paid only by demand draft in favour of the 'Association of Mutual Funds in India' payable at the location of the CAMS office to which the form is submitted.

DOCUMENTS TO BE SUBMITTED ALONGWITH THE APPLICATION		
PLEASE(☒) AGAINST DOCUMENTS ATTACHED		
SENIOR CITIZENS CATEGORY, in terms of SEBI Circular dated June 28, 2010	DD RS.5000/-	
	TWO STAMP SIZE COLOUR PHOTOGRAPHS	
	PROOF OF AGE	
	CPE COURSE CERTIFICATE	
	NISM PASSING CERTIFICATE	
	10 YEARS EXPERIENCE CERTIFICATE FROM AMC (MUTUAL FUND)	
OTHERS	DD RS.5000/-	
	PASSING CERTIFICATE COPY	
	TWO STAMP SIZE COLOUR PHOTOGRAPHS	

ACKNOWLEDGEMENT

Received Registration Form from _____ along with a Demand Draft No. _____ for Rs. _____ (Rs. _____) dated _____ being Fees for Registration with AMFI.

Signature of the Receiver