DEPUTY GENERAL MANAGER
INVESTMENT MANAGEMENT DEPARTMENT
DIVISION OF FUNDS-1

SEBI/IMD/DOF-1/FVCI/CIR-1/2010
January 12, 2010

All SEBI Registered Foreign Venture Capital Investors

through the Custodians of Securities

Dear Sir/ Madam,

Sub: Quarterly Reporting by Foreign Venture Capital Investors (FVCI)

1. Please refer to SEBI circular No SEBI/MFD/VCF/CIR no 2/7350/03 dated April 29, 2003 regarding submission of quarterly report on venture capital activity by Foreign Venture Capital Investors in the prescribed format.

2. Format for the quarterly report on venture capital activity to be submitted by Foreign Venture Capital Investors has been revised as per enclosed Annexure. In accordance with Regulation 13 (1) of SEBI (Foreign Venture Capital Investors) Regulations, 2000, all Foreign Venture Capital Investors are directed to submit the report on venture capital activity to SEBI complete in all respects in the new format with effect from the quarter ended 31st March, 2010.

3. The report is to be uploaded online on SEBI portal within 7 days from the end of each calendar quarter. Physical copies of the report are not required to be submitted.

4. In accordance with Regulation 14 (2) of SEBI (Foreign Venture Capital Investors) Regulations, 2000, domestic custodian shall be responsible for timely submission of the report.

5. This circular is issued in exercise of powers conferred by sub-section (1) of section 11 and section 11A of the Securities and Exchange Board of India Act, 1992, to protect the interests of investors in securities and to promote the development of, and to regulate the securities market.

6. This circular is available on SEBI website at www.sebi.gov.in under the categories “Legal Framework” and “Venture Capital”.

Yours faithfully,

Maninder Cheema

FVCI quarterly reporting Format

A

General Information	
Name of the FVCI	
Country of incorporation	
Name of the domestic custodian	
Name of the designated bank	
Funds Committed for investment in India (in Rs. Crore)	
Total Investible Funds[1] (in Rs. Crore)	

[1] Refer to FVCI Regulations for explanation

B Report upto the quarter ended (March/ June/ Sep/ Dec) Year

Cumulative details of the funds raised & invested by all schemes under the fund

1

Brief investment details of the FVCI in India (Rs. Crore)			
In Equity	**In Debt**	**In VCFs**	**Total**

2

Investment details									
Name of Scheme /fund	**Cumulative Investments made by the schemes/fund under Sec 11 (c) (i) of FVCI Regulations[2]**		**Cumulative Investments made by the schemes/fund under Sec 11 (c) (ii) of FVCI regulations**					**Total investment (in Rs. Crore) (A+B+C+D)**
	Investments (Rs. Crore) (A)	**% of Total Investible Funds in (A)**	**In Listed companies (Rs. Crore) (B)**	**In Debt (Rs. Crore) (C)**	**In SPVs (Rs. Crore) (D)**	**Total (Rs. Crore) (B+C+D)**	**% of Total Investible Funds in (B+C+D)**	
Total								

[2] **investment in equity/ equity linked instruments of unlisted companies**

3

Industry-wise investment break-up (cumulative) (in Rs. Crore)									
Industry	**Amount invested directly**				**Amount invested through VCFs**				**Total**
	At the beginning of the quarter (a)	**Additions during the quarter (b)**	**Divestments during the quarter (c)**	**At the end of the quarter (a+b-c)**	**At the beginning of the quarter (a)**	**Additions during the quarter (b)**	**Divestments during the quarter (c)**	**At the end of the quarter (a+b-c)**	
Information Technology									
Telecommunication									
Pharmaceuticals									
Biotechnology									
Media/ Entertainment									
Services Sector									
Industrial Products									
Others (dropdown as below)									
Total									

Other sectors:

1	Auto/ Auto Ancillary
2	Banking and financial services (Excluding NBFCs)
3	NBFC
4	Electrical &Engg./ Outsourced engineering services
5	FMCG/Food and beverages
6	Healthcare
7	Real estate
8	Energy/ Power Plants/ power generation and transmission/Non-conventional energy
9	Roads/Bridges
10	Railways
11	Industrial Parks/SEZ
12	Urban Infrastructure (water supply, sanitation and sewage products)
13	Mining, exploration and refining
14	Shipping and Ports
16	Logistics
15	Education
17	Packaging
18	Textiles
19	Retail
20	Agriculture
21	Chemicals/Petrochemicals/Plastic/Rubber
22	Nanotechnology
23	Seed Research & Development
24	Dairy industry
25	Poultry industry
26	Production of bio fuels
27	Hotel-cum-conventional centers with more than 3,000 seats
28	R&D of new chemical entities in pharma sector
29	Hotels/Travel/ Hospitality (Other than in (27) above)
	Option to add sectors included