

14. Major Attestation Work handled²:

<i>Name of Client</i>	<i>Level of Responsibility³</i>	<i>Turnover⁴</i>	<i>Audit Fees⁵</i>	<i>Type of Audits (Tick appropriate Box)</i>		
	<i>(mention appropriate code)</i>			<i>Statutory</i>	<i>Tax</i>	<i>Internal /other</i>
<i>Banks</i>						
1.	☐ ☐	☐	☐	☐	☐	☐
2.	☐ ☐	☐	☐	☐	☐	☐
<i>Financial Institutions</i>						
1.	☐ ☐	☐	☐	☐	☐	☐
2.	☐ ☐	☐	☐	☐	☐	☐
<i>Insurance Companies</i>						
1.	☐ ☐	☐	☐	☐	☐	☐
2.	☐ ☐	☐	☐	☐	☐	☐
<i>Companies</i>						
• <i>Public</i>						
1.	☐ ☐	☐	☐	☐	☐	☐
2.	☐ ☐	☐	☐	☐	☐	☐
• <i>Private</i>						
1.	☐ ☐	☐	☐	☐	☐	☐
2.	☐ ☐	☐	☐	☐	☐	☐
• <i>Government</i>						
1.	☐ ☐	☐	☐	☐	☐	☐
2.	☐ ☐	☐	☐	☐	☐	☐
<i>Co-operative Societies</i>						
1.	☐ ☐	☐	☐	☐	☐	☐
2.	☐ ☐	☐	☐	☐	☐	☐
<i>NBFCs</i>						
1.	☐ ☐	☐	☐	☐	☐	☐
2.	☐ ☐	☐	☐	☐	☐	☐

15. Professional Achievements:

² Use additional sheet, if required

³ Please specify the level of responsibility as: Audit assistant-AS and Supervisory-SP.

⁴ Please specify the Code for Turnover (per annum in rupees) as 1 to 50 crores-1; 50 to 200 crore-2 and above 200 crores-3.

⁵ Specify code for Audit fees per annum in rupees: upto 50 thousand-1; 51000 to 2 lacs- 2; 2 to 5 lacs-3 and more than 5 lacs-4.

16. Details of CPE Programmes attended:

17. Whether any Disciplinary action is pending against you (*referred to Disciplinary Committee for enquiry*)?

Yes ☐ No ☐

If Yes, please give details

I hereby declare that

- a. *I am a member of the Institute*
- b. *I Possess minimum ten/fifteen years experience of audit and am currently active in the practice of accounting and auditing (Note: 10/15 years' audit experience need not be continuous but cumulative; but any block of less than 2 years' experience in determining cumulative experience shall not be counted); and*
- c. *The information given above is true and correct and nothing has been concealed therefrom.*

Name

Date

(Signature)

For Office Use Only:

1. Whether applicant:
 - (a) Is member of the Institute Yes ☐ No ☐
 - (b) possess atleast 10 years' audit experience Yes ☐ No ☐
 - (c) is currently active in the practice of accounting and auditing Yes ☐ No ☐
2. Whether applicant is to be considered for allotment of reviews of practice units falling under:
All Stages of peer review ☐
Stage III only ☐
3. Whether complete information in the prescribed format is given in respect of points:
 13. Audit Working experience: Yes ☐ No ☐
 14. Major Attestation Work handled: Yes ☐ No ☐
4. Whether all other applicable points of the form have been filled
Yes ☐ No ☐
If No, Points
5. Whether any Disciplinary action is pending
Yes ☐ No ☐
6. RE No. allotted