

AGRAWAL KAILASH & ASSOCIATES

CHARTERED ACCOUNTANTS

2004, RATHI PALACE, RING ROAD, SURAT

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LIST OF REPORTS / DOCUMENTS REQUIRED FOR CONDUCTING STATUTORY AUDIT

1	Copies of all inspection reports such as RBI report, ZIC Report, synopsis, Concurrent audit report, Last yrs statutory audit report, LFAR
2	Balancing list as on 31/03/2018 (BR & PL Report).
3	Party wise balancing report for 31/03/17 for the heads not appearing in the BR. (including Bank guarantee /LC , Inland/ Foreign Bills, etc)
4	Report of security stock (double lock) from finacle.
5	List of all advances sanctioned during 1/04/2017 - 31/03/2018
6	List of Top exposure accounts in each category
7	Large Borrower accounts format (LFAR) duly filled up for all borrowers.
8	List of accounts for which LAD is pending (other than Hsg) & List of accounts overdue for Review .
9	Report showing details of CC/OD accounts where Stock/Book Debts Statements received during 01/10/2017 to 31/03/2018.
10	Report of continuous excess draws of CC/OD accounts from 01/10/2017 to 31/03/2018.
11	List of accounts where Stock Audit is pending .
12	List of accounts where Valuation Report is older than 3 years.
13	TOD Report for manual TOD issued or against clearing allowed. Soft copy of TDS Report
14	Branch-wise Audit Trail for the changes made in the Finacle for various parameters such as Interest Rate, Due Dates of EMI- Bills, Repayment Schedule etc.,
15	Report of all overdue advances as on 02/10/2017 (And as on 31/12/2017 for accounts without GST). (PNPA Report - SMA 0, SMA 1, SMA 2)
16	NPA Statement as on 31/03/2018 from FINACLE.
17	List of NPA accounts regularised during 2017 - 2018
18	Report of Inland/Foreign Bills past due as on 31/03/2018.
19	Report of Restructured loan cases till 31/03/2018. Cases of settlement/ waiver.
20	Details of frauds detected and/or reported during the year 2017-2018
21	Penalties levied by Regulators / Statutes and /or paid during the period 01/04/2017 to 31/03/2018