



SECURITIES AND EXCHANGE BOARD OF INDIA

SEBI Bhavan, Plot No. C-4/A, 'G' Block, Bandra Kurla Complex, Bandra (East)

Mumbai – 400 051

SEBI- Empanelment of forensic auditors for conducting forensic audit of listed companies

Securities and Exchange Board of India (hereinafter referred to as “SEBI”) invites applications of eligible CA firms / companies (hereinafter referred to as “applicant”) for empanelment to take up assignments relating to forensic audit of financial statements of listed companies.

1. Eligibility criteria for empanelment

The applicant –

- i. Should have minimum 10 years of experience in the field of audit / forensic audit.
- ii. Should have a minimum of 5 partners / Directors involved in forensic audit related work.
- iii. Should have employed at least 10 persons (full time), having relevant qualification, experience and expertise in the field of forensic audit.
- iv. Should have been previously empanelled by or should have had experience of forensic audit with any regulatory body / Government agency / Public Sector Enterprise.

2. Procedure for Empanelment

- i. The decision for empanelment of an applicant shall be taken by the Competent Authority on a comparative assessment of the applications received and if necessary through an interaction with the authorized representative of the applicant. The decision of the Competent Authority shall be binding on all entities.
- ii. The competent authority reserves the right to accept or reject any or all the applications without assigning any reason.

3. Disqualification

Application shall not be considered where disciplinary action / proceedings have been initiated against the applicant, its partners / directors, by any regulatory body or court of law.

The detailed profile as per the "Application form" should be sent to SEBI at the below mentioned address by Courier / speed post with the envelope marked as "Application for Empanelment of Forensic Auditor" so as to reach us within 21 days from the date of advertisement. A soft copy may also be sent by mail to "forensic@sebi.gov.in".

General Manager
Forensic Accounting Cell
Integrated Surveillance Department
Securities and Exchange Board of India
SEBI Bhavan, Plot No. C-4A, 'G' Block,
Bandra Kurla Complex, Bandra (East)
Mumbai – 400 051
Phone: +91-22-26449000/40459000

Application Form

1	Name of the Firm / Company, full address, Contact No, E-mail ID	
2	ICAI Registration Number of the Firm (FRN) / CIN no. of the company	
3	PAN/TAN NO. (Attach supporting documents)	
4	Date from which the firm / company has been in practice in the field of forensic audit	
5	Number of full time partners / directors involved in forensic audit related work, their qualification and past experience	
6	Number of full time employees having relevant experience and expertise in the field of forensic audit	
7	Details of branches, if any (No. of branches with the name of the city/town)	
8	Details of experience in forensic audit of listed companies, banks, insurance companies, public sector enterprises, large reputed organizations, etc. You may Annex a summary of your work profile along with the application.	
9	Details of empanelment with any regulatory body / Government agency / Public Sector Enterprise	

Undertaking

We the following partners / directors of ----- hereby jointly and severally verify and declare:

1. That the particulars given above are correct and further recognize that if any of the statements made therein or the information so furnished in the application form are incorrect or false, it will disqualify the firm / company from empanelment and also make us liable for appropriate action under the extant laws.
2. That no disciplinary action / proceedings have been initiated against the applicant, any of its partners / directors, by any regulatory body or court of law.

Sr. no.	Name of the Partner / director	Signature
1		
2		
3		
4		
5		